

# Algebraic Closure of Finite Fields

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## Notes

Let  $fg$  denote the function composition  $f \circ g$ .

**Main reference:** Tomas W. Hungerford, *Algebra*.

## 1 Useful Results

If  $|K| = q$  and  $f \in K[x]$  is irreducible, then  $f$  divides  $x^{q^n} - x$  if and only if  $\deg f$  divides  $n$ .

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*Proof.* Suppose  $q = p^r$  for some prime  $p > 0$  and positive integer  $r$ . Suppose  $f$  divides  $x^{q^n} - x$ . Let  $F$  be a splitting field of  $x^{q^n} - x$  over  $K$ . By Corollary V.5.8 we have  $[F : K] = n$ . Since  $f$  is irreducible, for  $u$  a root of  $f$  in  $F$ , we have  $[K(u) : K] = \deg f$ . Since  $f$  divides  $x^{q^n} - x$ , its root  $u$  is contained in  $F$ . So we have  $K \subseteq K(u) \subseteq F$ , whence we have  $\deg f = [K(u) : K]$  divides  $[F : K] = n$ .

Conversely, suppose  $d = \deg f | n$ . Let  $E = K(u)$  for some  $u \in F$  a root of  $f$ . Then we have  $[E : K] = \deg f = d$ , so  $E$  is finite and  $|E| = |K|^{[E:K]} = q^d$ . By Proposition V.5.10  $E$  is Galois over  $K$ , hence normal. Since  $f$  has a root  $u$  in  $E$ ,  $E$  contains all the roots of  $f$ , and hence is a splitting field of  $f$ . Now since  $d | n$ , by Corollary V.5.8 there exists a simple extension  $F = E(v)$  such that  $[F : E] = m := n/d$ . So we have  $|F| = |E|^{[F:E]} = q^{dm} = p^{rn}$ . By Proposition V.5.6  $F$  is a splitting field of the polynomial  $x^{p^{rn}} - x$  over  $\mathbb{F}_p$ , thus consists of the  $p^{rn}$  roots of  $x^{p^{rn}} - x$ . In particular, every element in  $E$  is such a root. Since  $E$  contains all roots of  $f$ , we conclude that all roots of  $f$  are roots of  $x^{p^{rn}} - x$ , hence  $f$  divides  $x^{p^{rn}} - x = x^{q^n} - x$ .  $\square$

## 2 Galois Group of the Algebraic Closure

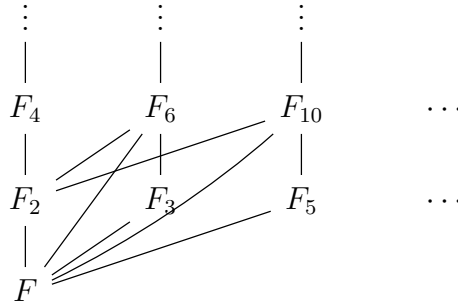
Let  $F$  be a finite field and  $\overline{F}$  an algebraic closure of  $F$ . Show that

$$\text{Gal}(\overline{F}/F) \cong \hat{\mathbb{Z}} := \varprojlim_{n \in \mathbb{N}} \mathbb{Z}/n\mathbb{Z}.$$

Here is an explanation of the inverse system that is being used in the above notation: endow the positive integers with the partial order of divisibility:  $m \leq n$  iff  $m|n$ . (Note, this is NOT the usual total order on the integers.) Thus whenever  $m \leq n$ , there is a natural projection map  $\mathbb{Z}/n\mathbb{Z} \rightarrow \mathbb{Z}/m\mathbb{Z}$  that sends  $x \bmod n$  to  $x \bmod m$ , so we have a natural inverse system. We use  $\hat{\mathbb{Z}}$  to denote the inverse limit of this system.

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*Proof.* Suppose  $|F| = q = p^r$  for some prime  $p$  and positive integer  $r$ . We begin with a construction of an inverse system. Fix an algebraic closure  $\overline{F}$  of  $F$ . For every  $n \geq 1$ , by Corollary V.5.8 there is a unique (up to isomorphism) simple extension  $F_n = K(u_n)$  of  $F$  in the algebraic closure  $\overline{F}$  such that  $F_n$  is the splitting field of  $x^{q^n} - x$  and is finite, with  $[F_n : F] = n$ . Then  $F_1 = F$ . For every  $d|n$ ,  $u_d \in F_d$  has a minimal polynomial  $f_d$  of degree  $d$ . Hence by V.5.7  $f_d$  divides  $x^{q^n} - x$ , whose roots are in  $F_n$ . So  $u_d \in F_n$  and therefore  $F_d \subseteq F_n$ . By Proposition V.5.10 every  $F_n$  is Galois over  $F$ . Hence we have the system of Galois extensions as the following.



**Claim 1.** The system  $\{\text{Aut}_F F_n : n \in \mathbb{N}\}$  is an inverse system isomorphic to  $\{\mathbb{Z}/n\mathbb{Z} : n \in \mathbb{N}\}$ .

Suppose  $m|n$  for  $n, m \in \mathbb{N}$ . Since  $F_n$  is finite Galois over  $F_m$  (as  $F \subseteq F_m \subseteq F_n$  and  $F_n$  is Galois over  $F$ ), the restriction homomorphism

$$f_{mn} : \text{Aut}_F F_n \rightarrow \text{Aut}_F F_m, \sigma \mapsto \sigma|_{F_m}$$

is well defined and surjective by the fundamental theorem. Thus  $f_{nn}$  is the identity homomorphism and  $f_{km} \circ f_{mn} = f_{kn}$  whenever  $k|m|n$  by properties of restriction.

**1.1 (V.5.8).** The group  $\text{Aut}_F F_n$  is generated by  $u \mapsto u^{p^r}$  for any  $n \in \mathbb{N}$ .

By Proposition V.5.10,  $\text{Aut}_F F_n$  is a cyclic subgroup of  $\text{Aut}_{\mathbb{F}_p} F_n$ , which is generated by the  $\mathbb{F}_p$ -automorphism (Frobenius map)  $\varphi : u \mapsto u^p$ . Now since  $F_n$  is Galois over  $\mathbb{F}_p$  we have

$$|\text{Aut}_{\mathbb{F}_p} F_n| = [F_n : \mathbb{F}_p] = [F_n : F][F : \mathbb{F}_p] = nr$$

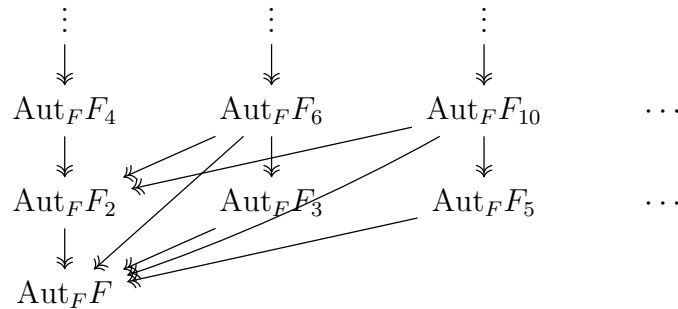
while  $|\text{Aut}_F F_n| = [F_n : F] = n$ . So the subgroup  $\text{Aut}_F F_n$  has index  $r$ , and is hence generated by the order  $n$  element  $\sigma_n := \varphi^r : u \mapsto u^{p^r} = u^q$  for  $u \in F_n$ .

For  $m|n$  and  $\sigma \in \text{Aut}_F F_n$ , we have  $\sigma = \sigma_n^j$  for some  $j \in \mathbb{Z}/n\mathbb{Z}$  such that  $\sigma(u) = u^{q^j}$  for all  $u \in F_n$ . Thus in particular  $\sigma|_{F_m}(u) = u^{q^j}$  for all  $u \in F_m$ . So  $\sigma|_{F_m} = \sigma_m^j$ . Since  $\text{Aut}_F F_m$  has order  $m|n$ , we have  $\sigma|_{F_m} = \sigma_m^l$  for  $l \equiv j \pmod{m}$ , where  $0 \leq l < m$  is unique.

Note that we have isomorphisms  $\text{Aut}_F F_n \xrightarrow{\cong} \mathbb{Z}/n\mathbb{Z}$ ,  $\sigma_n^j \mapsto j$  for all  $n \in \mathbb{N}, j \in \mathbb{Z}/n\mathbb{Z}$ . The induced map by this isomorphism of the restriction  $f_{mn}$  is precisely the natural projection  $\mathbb{Z}/n\mathbb{Z} \rightarrow \mathbb{Z}/m\mathbb{Z}$ ,  $j \mapsto j \pmod{m}$ . Thus the diagrams of the following form

$$\begin{array}{ccc} \text{Aut}_F F_n & \xrightarrow{f_{mn}} & \text{Aut}_F F_m \\ \uparrow \cong & & \uparrow \cong \\ \mathbb{Z}/n\mathbb{Z} & \longrightarrow & \mathbb{Z}/m\mathbb{Z} \end{array}$$

commute for all  $m|n$ . So we have an isomorphism between the inverse systems  $\{\text{Aut}_F F_n : n \in \mathbb{N}\}$  with restrictions  $f_{mn}$  and  $\{\mathbb{Z}/n\mathbb{Z} : n \in \mathbb{N}\}$  with the natural projections, both defined under the same partial order of divisibility on  $\mathbb{N}$ .



**Claim 2.** There exists a homomorphism  $p_n : \text{Gal}(\overline{F}/F) \rightarrow \text{Aut}_F F_n$  for each  $n \in \mathbb{N}$  such that  $f_{mn}p_n = p_m$  whenever  $m|n$ .

**2.1 (V.5.11(a)).**  $\overline{F}$  is Galois over  $F$ .

The algebraic closure  $\overline{F}$  contains the union  $\cup_{n \in \mathbb{N}} F_n$ . Now for all  $0 \neq u \in \overline{F}$ , since  $u$  is algebraic over  $F$ , it has a minimal polynomial  $f$  of degree some  $n \in \mathbb{N}$  and hence divides

$x^{q^n} - x$  by V.5.7 as above. So we have  $u \in F_n$ , and in fact  $\overline{F} = \cup_{n \in \mathbb{N}} F_n$ . Also since  $f$  divides  $x^{q^n} - x \in F[x]$ , which has  $q^n$  distinct roots,  $f$  has distinct roots and hence is separable. Thus every element  $u \in \overline{F}$  is separable. By definition  $\overline{F}$  is a splitting field of all polynomials in  $F[x]$ . So by Theorem V.3.11 we conclude that  $\overline{F}$  is algebraic Galois over  $F$ .

Then since  $F_n$  are intermediate fields of  $\overline{F}$  over  $F$ ,  $\overline{F}$  is Galois over  $F_n$  for all  $n$ . Therefore, the restrictions

$$p_n : \text{Gal}(\overline{F}/F) \rightarrow \text{Aut}_F F_n, \quad \sigma \mapsto \sigma|_{F_n}$$

are well-defined homomorphisms by Lemma V.2.13. Furthermore, for  $m|n$  we have  $f_{mn}p_n = p_m$  as  $f_{mn}$  is also a restriction.

**Claim 3.** Together with  $\{p_n : n \in \mathbb{N}\}$ , the group  $G = \text{Gal}(\overline{F}/F)$  is the inverse limit of the inverse system  $\{\text{Aut}_F F_n : n \in \mathbb{N}\}$ , which is isomorphic to  $\{\mathbb{Z}/n\mathbb{Z} : n \in \mathbb{N}\}$ . Therefore  $\text{Gal}(\overline{F}/F) \cong \hat{\mathbb{Z}}$  by the universal property of the inverse limit.

Denote  $G = \text{Gal}(\overline{F}/F)$ . Let  $B$  be a group together with homomorphisms  $\varphi_n : B \rightarrow \text{Aut}_F F_n$  for all  $n$  such that  $f_{mn}\varphi_n = \varphi_m$  whenever  $m|n$ .<sup>1</sup> We have shown that  $\overline{F} = \cup_{n \in \mathbb{N}} F_n$ . So for every  $0 \neq u \in \overline{F}$ , we have  $u \in F_{k_u}$  for some  $k_u \in \mathbb{N}$  such that  $u$  has degree  $k_u$  over  $F$ . We define a map  $\varphi : B \rightarrow G$  by  $\varphi(b) = \beta$  where  $\beta(u) = \varphi_{k_u}(b)(u)$  for each  $0 \neq u \in \overline{F}$  and  $\beta(0) = 0$ .

**3.1.** The map  $\varphi$  is well-defined, that is,  $\beta$  is in fact an  $F$ -automorphism of  $\overline{F}$ .

**3.1.1.**  $\beta$  is a well-defined map. For every  $0 \neq u \in \overline{F}$ , since  $u$  has degree  $k_u$  over  $F$ , we have  $u^{q^k} - u \neq 0$  for all  $k < k_u$ . Because otherwise we would have  $u \in F_k$  and  $u$  has degree  $\leq k < k_u$ . Hence  $u$  has multiplicative order  $q^{k_u} - 1$  in the group  $(F_{k_u})^\times$ , and hence we have  $F_{k_u} = F(u)$ . If we also have  $u \in F_n$  for some  $n \in \mathbb{N}$  then  $F_{k_u} \subseteq F_n$  holds and therefore the restriction  $f_{k_u n}$  is defined. In this case

$$\varphi_{k_u}(b)(u) = [f_{k_u n}\varphi_n](b)(u) = \varphi_n(b)(u)$$

holds. So  $\beta(u) = \varphi_{k_u}(b)(u)$  is well-defined.

**3.1.2.**  $\beta$  is an  $F$ -automorphism. For every  $u, v \in \overline{F}$ , we have  $u \in F_{k_u}, v \in F_{k_v}$  for some  $k_u, k_v \in \mathbb{N}$ . Then in particular we have  $u, v \in F_{k_u k_v}$ . Thus

$$\beta(u - v) = \varphi_{k_u k_v}(b)(u - v) = \varphi_{k_u k_v}(b)(u) - \varphi_{k_u k_v}(b)(v) = \beta(u) - \beta(v);$$

$$\beta(uv^{-1}) = \varphi_{k_u k_v}(b)(uv^{-1}) = \varphi_{k_u k_v}(b)(u)(\varphi_{k_u k_v}(b)(v))^{-1} = \beta(u)(\beta(v))^{-1}$$

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<sup>1</sup>We have shown that  $u \mapsto u^q$  generates each  $\text{Aut}_F F_n$ . So  $\varphi_n(b) = \sigma_n^{j_n} : u \mapsto u^{q^{j_n}}$  for some  $j_n \in \mathbb{Z}/n\mathbb{Z}$ . And by  $f_{mn}\varphi_n = \varphi_m$  we have  $j_n \cong j_m \pmod{m}$  whenever  $m|n$ . So we can view  $\varphi_n : B \rightarrow \mathbb{Z}/n\mathbb{Z}, b \mapsto j_n$ .

(given  $v \neq 0$ ) since  $\varphi_{k_u k_v}(b) \in \text{Aut}_F F_{k_u k_v}$ . So  $\beta$  is a field homomorphism. Similarly, if  $\beta(u) = \beta(v)$  for some  $u, v \in \overline{F}$  then  $\varphi_{k_u k_v}(b)(u) = \varphi_{k_u k_v}(b)(v)$ , which implies  $u = v$ . So  $\beta$  is injective. For every  $u \in \overline{F}$ , since  $\varphi_{k_u}(b)$  is an  $F$ -automorphism, there exists  $w \in F_{k_u}$  such that  $u = \varphi_{k_u}(b)(w) = \beta(w)$ . So  $\beta$  is surjective. Finally, since  $F \subseteq F_n$  for all  $n \in \mathbb{N}$ , we have  $\beta(x) = \varphi_n(b)(x) = x$  since  $\varphi_n(b)$  fixes  $F$ . So  $\beta$  fixes  $F$ . Therefore,  $\beta$  is an  $F$ -automorphism of  $\overline{F}$ .

### 3.2. The map $\varphi$ is a group homomorphism.

Let  $b, b' \in B$ . Then for all  $u \in \overline{F}$ , the map  $\varphi_{k_u} : B \rightarrow \text{Aut}_F F_{k_u}$  is a homomorphism. Thus we have

$$\varphi(bb')(u) = \varphi_{k_u}(bb')(u) = \varphi_{k_u}(b)[\varphi_{k_u}(b')(u)] = \varphi(b)[\varphi(b')(u)],$$

where  $\varphi(b')(u) \in F_{k_u}$ . Hence  $\varphi$  is a group homomorphism.

$$\begin{array}{ccccc}
 & & B & & \\
 & \swarrow \varphi_n & \downarrow \exists! \varphi & \searrow \varphi_m & \\
 & & G & & \\
 \swarrow p_n & & & & \searrow p_m \\
 \text{Aut}_F F_n & \xrightarrow{f_{mn}} & & & \text{Aut}_F F_m
 \end{array}$$

### 3.3. The map $\varphi$ is the unique group homomorphism satisfying $p_n \varphi = \varphi_n$ for all $n$ .

For every  $n \in \mathbb{N}$ , we have  $p_n|_{F_n} = \text{id}_{F_n}$ . So for all  $b \in B$  we have  $p_n \varphi(b)(u) = \varphi(b)(u) = \varphi_n(u)$  for all  $u \in F_n$ . Thus  $p_n \varphi = \varphi_n$  holds.

Now suppose  $\psi : B \rightarrow G$  is another group homomorphism such that  $p_n \psi = \varphi_n$  for all  $n$ . Then necessarily we have  $p_n \psi(b) = p_n \varphi(b)$  for all  $b \in B$ . For any  $u \in \overline{F}$ , since  $u \in F_{k_u}$  we have

$$\psi(b)(u) = \psi(b)|_{F_{k_u}}(u) = p_{k_u} \psi(b)(u) = \varphi_{k_u}(b)(u) = \varphi(b)(u).$$

We conclude that  $\psi = \varphi$  and hence  $\varphi$  is unique. Therefore we have  $G \cong \hat{\mathbb{Z}}$ . □